



1218  
ALO  
1-30-04

S-96,894

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jimmy Thonton, et al.  
Serial No. : 09/955,582  
Confirmation No. : 9380  
Filed : September 18, 2001  
Title : REAL-TIME COMBUSTION CONTROLS AND DIAGNOSTICS  
SENSORS (CCADS)  
Examiner : Cocks, Josiah  
Art Unit : 3743  
DOE Case No. : S-96,894  
Customer No. : 31970

---



31970

PATENT TRADEMARK OFFICE

Paper No. 11

PRELIMINARY AMENDMENT UNDER 37 CFR § 1.115

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is responsive to the office action dated August 1, 2003.

**RECEIVED**  
NOV 07 2003  
TECHNOLOGY CENTER R3700

NOV 03 2003  
PATENT & TRADEMARK OFFICE

#11  
PTO/SB/30 (05-03)  
Approved for use through 4/30/2003 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



31970

PATENT TRADEMARK OFFICE

Application Number	09/955,582
Filing Date	September 18, 2001
First Named Inventor	THORNTON, Jimmy, et al.
Art Unit	3743
Examiner Name	COCKS, Josiah C.
Attorney Docket Number	S-96,894

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

Note: If the RCE is proper, any previously filed unentered

and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on

ii. ☐ Other

b. ☒ Enclosed

i. ☐ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☒ Other **Preliminary Amendment**

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for

a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to  
Deposit Account No. **01-2752**

i. ☒ RCE fee required under 37 CFR 1.17(e)

11/04/2003 RHARIS1 00000049 012752 09955582

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

01 FC:1801 770.00 DA

iii. ☐ Other

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)

John T. Lucas

Registration No. (Attorney / Agent)

36860

Signature

Date

November 3, 2003

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print / Type)

Signature

Date

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED

NOV 07 2003

TECHNOLOGY CENTER R3700